

2853 Image

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Michael C. Smyk

Confirmation No.: 9590

**Application No.: 09/989,271** 

Group Art Unit: 2833

Filing Date: November 20, 2001

Examiner: Harvey, James R

FEMALE ELECTRICAL TERMINAL AND ELECTRICAL CONNECTOR

**COMPRISING THE SAME** 

DATE OF DEPOSIT: Jav. 5, 2004

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID, ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE UNITED STATES PATENT AND TRADEMARK OFFICE, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

TYPED NAME: Andrew J. Hagerty **REGISTRATION NO.: 44,141** 

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

In accordance with § 1.97(b), since this Information Disclosure Statement is
being filed either within three months of the filing date of the above-identified
application, within three months of the date of entry into the national stage of

DOCKET NO.: FCI-2632/C3069US - 2 - PATENT

	the above identified	application as set forth in § 1.491, before the mailing date				
	of a first Office Action on the merits of the above-identified application, or					
	before the mailing d	ate of a first Office Action after the filing of request for				
	continued examination under § 1.114, no additional fee is required.					
	In accordance with §	1.129(a), this Information Disclosure Statement is being				
	filed in connection with  the first or  second After Final Submission					
	therefore:					
	☐ Certif	ication in Accordance with § 1.97(e) is attached; or				
	The fe	ee of \$180.00 as set forth in § 1.17(p) is attached.				
$\boxtimes$	In accordance with	§ 1.97(c), this Information Disclosure Statement is being				
	filed after the period	set forth in § 1.97(b) above but before the mailing date of				
	either a Final Action	under § 1.113 or a Notice of Allowance under § 1.311, or				
	before an action that	otherwise closes prosecution in the application, therefore:				
	$\boxtimes$	Certification in Accordance with § 1.97(e) is attached;				
		or				
		The fee of \$180.00 as set forth in § 1.17(p) is attached.				
	In accordance with	§ 1.97(d), this Information Disclosure Statement is being				
	filed after the mailin	g date of either a Final Action under § 1.113 or a Notice				
	of Allowance under	§ 1.311 but before, or simultaneously with, the payment				
	of the Issue Fee, the	erefore included are: Certification in Accordance with §				
	1.97(e); and the subn	nission fee of \$180.00 as set forth in § 1.17(p).				

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$\boxtimes$	Copie	es of each of the references listed on the attached Form PTO-1449 are				
	enclo	sed herewith.				
	Copie	es of references listed on the attached Form PTO-1449 are enclosed				
	herew	rith				
	Copie	es of references listed on the attached Form PTO 1449 are not required to				
	be su	bmitted pursuant to the June 30, 2003 recent revisions to 37 CFR §				
	1.98(a)(2)(i).					
	EXC	EXCEPT THAT:				
		In view of the voluminous nature of references [list as appropriate],				
		and the likelihood that these references are available to the Examiner,				
		copies are not enclosed herewith.				
		In accordance with § 1.98(d), copies of the following references listed				
		on the attached Form PTO-1449 are not enclosed herewith because				
		they were previously cited by or submitted to the U.S. Patent and				
		Trademark Office in patent application(s) for which a claim for priority				
		under 35 U.S.C.§ 120 have been made in the instant application:				
		Copies of references [list as appropriate] listed on the				
		attached Form PTO-1449 were previously cited by or submitted				
		to the Patent and Trademark Office in prior Application No.				
		, filed .				

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Enclosed is a copy of the EPO European Search Report dated **December 16, 2003**, which indicates the references to be relevant.

English language abstracts have been provided for reference(s) #18 which are not in the English language.

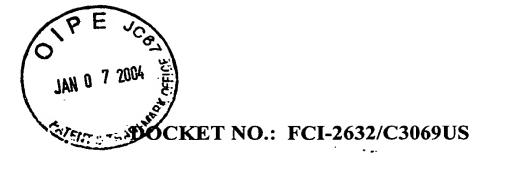
Date: Jav 5,2004

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TYPED NAME: Andrew J. Hagerty REGISTRATION NO.: 44,141

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

## **CERTIFICATION UNDER 37 CFR § 1.97(e)**

In accordance with 37 CFR § 1.97(e), certification is hereby made that:

Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

**PATENT** 

**DOCKET NO.: FCI-2632/C3069US** 

- 2 -

No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

Date: Jn 5, 2004

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For	m PT	O-1449 Modifie	d	Docket No. Application No. <b>609/989,271</b>			
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office				Applicant Michael C. Smyk			
				Filing Date November 20, 2001	Group <b>2833</b>	<del>-</del>	
	-1			Confirmation No. <b>9590</b>			
		U.	S. PATENT	T DOCUMENTS			
Examiner Initial		Document No.	Date	Name	Class	Subclass	
	16	5,476,399	12/19/95	Porter	439	843	
•	17	5,730,628	03/24/98	Hawkins	439	843	
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	<u> </u>	FORE	IGN PATE	ENT DOCUMENTS	· · · · · · · · · · · · · · · · · · ·		
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<u>Initial</u>	10	DE 107 45 530	Date 04/22/08	Country	YES	NO	
<u>.</u>	18	DE 197 45 530	04/23/98	Germany	X(Abstract)		
	19	EP 0 893 850 A2	01/27/99	EPO			
EXAMINER	)	<u> </u>		DATE CONSIDERE	ED.	1	